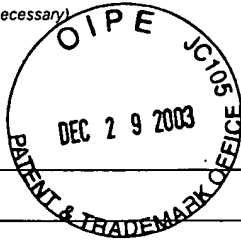


Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)



Complete if Known

Application Number 09/541444 09/605,605
Filing Date March 31, 2003
First Named Inventor Sutton, James Carl M. Ellison
Group Art Unit 2966 2132 DEC 31 2003
Examiner Name Unknown Justin T. Darrow
Technology Center 2100

Sheet 1 of 2

Attorney Docket No: 42P07709

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
JD	DE-DE4217444 A1	12/03/1992	Toyohisa, Imadal, et al.	G06F	12/00	abs
JD	EP-EP0473913 A2	03/11/1992	Farrell, Joel A.	G06F	21/46	
JD	EP-EP0600112 A1	06/08/1994	Wimmer, Manfred D.	G06F	12/14	abs
JD	EP-EP0930567 A3	07/21/1999	Brewer, Jason M.	G06F	21/48	
JD	EP-EP1030237 A1	08/23/2000	Proudlar, John, et al.	G06F	21/00	
JD	EP-EP1146715 A1	10/17/2001	Wang, Xin, et al.	H04E	29/06	
JD	JP-JP2000076139 A	03/14/2000	Tanno, Masaaki, et al.	G06F	12/14	✓
JD	WO-WO0062232 A1	10/19/2000	Headrick, Samuel P., et al.	G06F	17/60	
JD	WO-WO0127723 A1	04/19/2001	Vamvakas, Athanasia, et al.	G06F	1/00	
JD	WO-WO0127821 A2	04/19/2001	Chen, Ligu, et al.	G06F	12/00	
JD	WO-WO0175564 A2	10/11/2002	Herbert, Howard C., et al.	G06F	12/00	
JD	WO-WO0175565 A2	10/11/2001	Ellison, Carl M.	G06F	21/00	
JD	WO-WO0175595 A2	10/11/2001	Ellison, Carl M., et al.	G06F	21/00	
JD	WO-WO02086684 A2	10/31/2002	Proudlar, Graeme J.	G06F	1/00	
JD	WO-WO0217555 A2	02/28/2002	Ford, Warwick	H04E	29/06	
JD	WO-WO9729567 A1	08/14/1997	Gressel, Carmi, et al.	H04E	29/06	
JD	WO-WO9834365 A1	08/06/1998	Devanbu, Premkumar T., et al.	H04E	29/06	
JD	WO-WO9844402 A1	10/08/1998	Bramhill, Ian D., et al.	G06F	1/00	
JD	WO-WO9905600 A2	02/04/1999	Garst, Blaine, et al.	G06F	12/00	
JD	WO-WO9909482 A1	01/15/2002	Bausch, Jean	G06F	12/14	
JD	WO-WO9957863 A1	11/11/1999	Hayes Jr., Kent F., et al.	H04E	29/06	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
JD	1	BERG, CLIFF, "How Do I Create a Signed Applet?", <u>Dr. Dobb's Journal</u> , (08/1997), 1-9	
JD	1	CHEN, ANDREW A., et al., "Safe and Protected Execution for the Morph/AMRM Reconfigurable Processor", <u>7th Annual IEEE Symposium, FCCM '99 Proceedings</u> , XP010359180, ISBN 0-7695-0375-6, Los Alamitos, CA, (4/21/1999), 209-221	
JD	1	COMPAQ COMPUTER CORPORATION, et al., "Trusted Computing Platform Alliance (TCPA) Main Specification Version 1.1a", (12/2001), 1-321	
JD	1	DAVIDA, GEORGE I., et al., "Defending Systems Against Viruses through Cryptographic Authentication", <u>Proceedings of the Symposium on Security and Privacy</u> , IEEE Comp. Soc. Press, ISBN 0-8186-1939-2, (May 1989),	
JD	1	GOLDBERG, ROBERT P., "Survey of Virtual Machine Research", <u>COMPUTER Magazine</u> , (06/1974), cover, contents, 34-35	

EXAMINER

Justin T. Darrow

DATE CONSIDERED

06/01/2004

Substitute Disclosure Statement Form (PTO-1449)

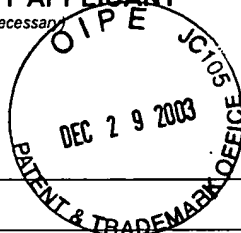
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known.

Application Number	09/541444 09/605,605
Filing Date	March 31, 2000 06/12/2000
First Named Inventor	Sutton, James
Group Art Unit	27662 132
Examiner Name	Unknown Justin T. Darrow

Sheet 2 of 2

Attorney Docket No: 42P07709

Technology Center 2100

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
JD	/	GONG, LI, et al., "Going Behind the Sandbox: An Overview of the New Security Architecture in the Java Development Kit 1.2", <u>Proceedings of the USENIX Symposium on Internet Technologies and Systems</u> , Monterey, CA, (12/1997),	
JD	/	GUM, P. H., "System/370 Extended Architecture: Facilities for Virtual Machines", <u>IBM J. Research Development</u> , Vol 27, Number 6, (11/1983), 530-544	
JD	/	HEINRICH, JOE, "MIPS R4000 Microprocessor User's Manual, Second Edition", Chapter 4 "Memory Management", (6/11/1993), 61-97	
JD	/	IBM, "Information Display Technique for a Terminate Stay Resident Program IBM Technical Disclosure Bulletin", <u>TDB-ACC-No. NA9112156</u> , Vol. 34, Issue 7A, (12/1/1991), 156-158	
JD	/	INTEL, "Intel386 DX Microprocessor 32-Bit CHMOS Microprocessor With Integrated Memory Management", (1995), 1-56	
JD	/	KARGER, PAUL A., et al., "A VMM Security Kernel for the VAX Architecture", <u>Proceedings of the Symposium on Research in Security and Privacy</u> , XP010020182, ISBN 0-8186-2060-9, Boxborough, MA, (5/7/1990), 2-19	
JD	/	KASHIWAGI, KAZUHIKO, et al., "Design and Implementation of Dynamically Reconstructing System Software", <u>Software Engineering Conference</u> , Proceedings 1996 Asia-Pacific Seoul, South Korea 4-7 Dec. 1996, Los Alamitos, CA USA, IEEE Comput. Soc, US, ISBN 0-8186-7638-8, (1996),	
JD	/	LAWTON, KEVIN, et al., "Running Multiple Operating Systems Concurrently on an IA32 PC Using Virtualization Techniques", http://www.plex86.org/research/paper.txt , (11/29/1999), 1-31	
JD	/	LUKE, JAHN, et al., "Replacement Strategy for Aging Avionics Computers", <u>IEEE AES Systems Magazine</u> , XP002190614, (March 1999),	
JD	/	MOTOROLA, "M68040 User's Manual", (1993), cover, vi-xxiii, 1-1 to 8-32	
JD	/	RICHT, STEFAN, et al., "In-Circuit-Emulator Wird Echtzeittauglich", <u>Elektronik</u> , Franzis Verlag GMBH, Munchen, DE, Vol. 40, No. 16, XP000259620, (100-103), 8-6-1991	
JD	/	ROBIN, JOHN S., et al., "Analysis of the Pentium's Ability to Support a Secure Virtual Machine Monitor", <u>Proceedings of the 9th USENIX Security Symposium</u> , XP002247347, Denver, Colorado, (8/14/00), 1-17	
JD	/	ROSENBLUM, M., "Virtual Platform: A Virtual Machine Monitor for Commodity PC", <u>Proceedings of the 11th Hotchips Conference</u> , (8/17/1999), 185-196	
JD	/	SAEZ, SERGIO, et al., "A Hardware Scheduler for Complex Real-Time Systems", <u>Proceedings of the IEEE International Symposium on Industrial Electronics</u> , XP002190615, (July 1999), 43-48	
JD	/	SHERWOOD, TIMOTHY, et al., "Patchable Instruction ROM Architecture", <u>Department of Computer Science and Engineering, University of California, San Diego, La Jolla, CA</u> , (Nov. 2001), 24-33.	

EXAMINER

Justin T. Darrow

DATE CONSIDERED

06/01/2000

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached